



*JPJ*

PATENT  
Attorney Docket No. 07781.0220  
SAP Reference No. 2002P00138WOUS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Thorsten PFERDEKAEMPER et al.	)	Group Art Unit: 2168
	)	
Application No.: 10/526,750	)	Examiner: Sanders, Aaron J.
	)	
Filed: November 14, 2005	)	Confirmation No.: 6924
	)	
For: METHODS AND SYSTEMS FOR	)	
CONTROLLING ACCESS TO A DATA	)	
OBJECT BY MEANS OF LOCKS	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed U.S. patent publications are not enclosed. A copy of a PCT Search Report for PCT/EP2003/09828 is enclosed.

Applicants further bring to the attention of the Examiner the following U.S. patent and co-pending patent applications:

06/20/2007 SZEWDIE1 00000006 060916 10526750  
01 FC:1806 180.00 DA

1. Patent No. 7,222,142, issued May 22, 2007, entitled "Methods and Systems for Moving Data Objects Utilizing Data Identifiers and Lock Objects."
2. Application No. 10/656,208, filed September 8, 2003, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Controlling Access To A Data Object."
3. Application No. 10/526,747, filed November 14, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Moving Data Objects."
4. Application No. 10/526,748, filed November 8, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Data Moving Using Locks."
5. Application No. 10/526,504, filed October 24, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Methods and Systems for Archiving Data."
6. Application No. 10/526,749, filed November 14, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Moving Data Using Locks."
7. Application No. 10/526,751, filed November 14, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Electronic Data Structure for Controlling Access to Data objects Using Locks."

Copies of the co-pending patent applications are stored in the USPTO's image file wrapper (IFW) system. Therefore, copies are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

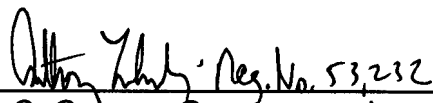
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

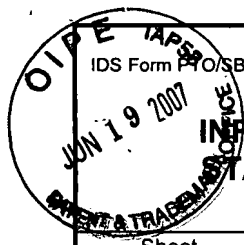
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 19, 2007

By:  Reg. No. 53,232  
C. Gregory Gramenopoulos  
For Reg. No. 36,532



IDS Form PTO/SB/08: Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

### Complete if Known

Application Number	10/526,750
Filing Date	November 14, 2005
First Named Inventor	Thorsten Pferdekaemper
Art Unit	2168
Examiner Name	Sanders, Aaron J.
Attorney Docket Number	07781.0220

### U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		5,913,213	06/15/1999	Wikstrom et al.	
		6,405,274	06/11/2002	Chan	
		2001/0056425	12/27/2001	Richard	
		2002/0087476	07/04/2002	Salas et al.	
		2003/0004975	01/02/2003	Nakano et al.	
		2006/0101094	05/11/2006	Fischer et al.	
		2006/0149736	07/06/2006	Pferdekaemper et al.	
		2006/0149696	07/06/2006	Pferdekaemper et al.	
		6,507,853	01/14/2003	Bamford et al.	
		6,772,255	08/03/2004	Daynes	
		6,944,615	09/13/2005	Teng et al.	
		2006/0129768	06/15/2006	Pferdekaemper et al.	
		2006/0155704	07/13/2006	Fischer et al.	
		5,557,792	09/17/1996	Josten et al.	
		5,761,660	06/02/1998	Josten et al.	
		6,334,134	12/25/2001	Haderle et al.	
		6,557,082	04/29/2003	Josten et al.	
		6,952,707	10/04/2005	Josten	
		6,850,938	02/01/2005	Sadjadi	
		6,772,177	08/03/2004	Hsiao et al.	
		5,548,750	08/20/1996	Larsson et al.	
		7,222,142	05/22/2007	Fischer et al.	

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

### NONPATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		PCT Search Report mailed March 30, 2004 for PCT/EP2003/09828.	
		Martin FISCHER et al., U.S. Application No. 10/656,208, filed September 8, 2003, entitled "Methods and Systems for Controlling Access to a Data Object."	
		Martin FISCHER et al., U.S. Application No. 10/526,747, filed November 14, 2005, entitled "Methods and Systems for Moving Data Objects."	
		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,748, filed November 8, 2005, entitled "Methods and Systems for Data Moving Using Locks."	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/526,750
				Filing Date	November 14, 2005
				First Named Inventor	Thorsten Pferdekaemper
				Art Unit	2168
				Examiner Name	Sanders, Aaron J.
Sheet	2	of	2	Attorney Docket Number	07781.0220

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		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,504, filed October 24, 2005, entitled "Methods and Systems for Archiving Data."	
		Martin FISCHER et al., U.S. Application No. 10/526,749, filed November 14, 2005, entitled "Methods and Systems for Moving Data Using Locks."	
		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,751, filed November 14, 2005, entitled "Electronic Data Structure for Controlling Access to Data Objects Using Locks."	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.